

Client Registration Form



PARIM FINSERV PVT. LTD.

Creating Wealth with Integrity

■ **NSE F&O**

■ **NSE F&O**

■ **NSE CDS**

Client Name	_____	Client Code :	_____
Branch Name :	_____	AP Name:	_____
DP Id. & Name :	12095600	BO Id.	_____

www.parimfinserv.com

CHECK LIST FOR FILLING KYC FORM (List of documents to be Submitted) - For Individual

	Acceptable Documents (Self attested copies required)	Please Tick
PAN card	of Account Holder	
Photograph	One Colored Front Face Photograph on photographic Paper (Passport Size)	
Proof of Identity (Any One)	1. Unique identification number (UID) (Aadhaar)	
	2. Valid Passport (Name, Address & Photo page)	
	3. Voter ID (front and back)	
	4. Valid Driving License (Name, Address & Photo page)	
	5. PAN Card	
	6. Other1 (Pls. Specify) _____	
Proof of Address (Any One)	1. Valid Passport (Name, Address & Photo page)	
	2. Voter ID (front and back)	
	3. Valid Driving License (Name, Address & Photo page)	
	4. Unique identification number (UID) (Aadhaar)	
	5. Bank Statement/Passbook (not more than 3 months old, seal & signature of the bank official)	
	6. Banker's Certificate on letter head of the Bank (ORIGINAL)	
	7. Electricity Bill (not more than 3 months old)	
	8. Resident Landline Tel. Bill (not more than 3 months old)	
	9. Registered Flat Sale Agreement of Residence	
	10. Registered Lease/Leave & License Agreement	
	11. Other* (Pls. Specify) _____	
Bank Proof Provide for all the Bank Account Mentioned (Any One)	1. Bank Statement / Bank Passbook with cheque leaf (not more than 3 months old, seal & signature of Bank Official)	
	2. Banker's Certificate on letter head of the Bank (ORIGINAL)	
	3. Cancelled Personalized Cheque leaf	
	1. Copy of ITR Acknowledgement	
	2. Copy of Annual Accounts	
	3. In case of salary income - Salary Slip, Copy of Form 16	
	4. Net-worth certificate	
	5. Copy of Demat Account Holding Statement	
	6. Bank Account Statement for last 6 months reflecting income	

Notes: _____

INDEX PAGE

No.	Document	Brief Significance of the Document	Page
Mandatory documents as prescribed by SEBI & Exchanges			
1.	Account Opening Form	KYC form - Document captures the basic information about the First / Sole Holder	2 - 3
		Document captures the additional information about the client relevant to trading & Demat account	4 - 6
		Nomination Form (For Trading & Demat)	7
		Tariff Scheme	8
2.	Policies & Procedures	Document describing significant policies & procedures of the stock broker	9 - 10
3.	Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the securities market	ANNEXURE
4.	Guidance Notes	Document detailing Do's & Don'ts for trading on exchange, for the education of the investors	
5.	Rights & Obligations	Document stating the Rights & Obligations of stock broker / trading member, sub-broker & client for trading on exchanges (including additional rights & obligations in case of internet / wireless technology based trading & SLBM segments)	

Documents for opening a Demat Account

6.	Demat Account Form	a) DP Tariff Sheet: Document dealing various charges that shall be levied for Demat Account / DIS Option	11
		b) Demat Debit and Pledge Instruction- Voluntary Document (DDPI)	12
		c) Annexure 2.4 (SMART: Terms & Conditions for receiving SMS Alerts from CDSL)	13 -14
		d) FATCA Declaration	15
		d) Rights & Obligations of DP & BO	ANNEXURE
		e) Annexure 2.6 (Terms & Conditions for availing TRUST Service offered by CDSL)	

Voluntary Documents

7.	a) Electronic Contract Note (ECN) - Declaration	16
	b) Running Account Authorisation & Settlement of Funds & Securities	17
	c) Telephonic Instruction / Voice Logger / SMS Facility / Authority Letter / Demat Charges Authorization / Internet Mobile Based Application	18
	d) Revocation of Authorizations / Opting out of BSDA Facility	19
	e) Annexure 1B (For Opting out of Nomination)	20

PARIM FINSERV PVT. LTD.

Office Address	412, Zircon Plus, Opp. Patidar Bhavan, B/S. Ankur School, Ambatalavadi Road, Katargam, Surat-395004
Director/CEO Details	Paresh Kakadiya, E-Mail: admin@parim?nserv.com, Mobile No. - +91 9825854117
Compliance Officer	Paresh Kakadiya, E-Mail: parim?nserv@live.com, Mobile No. - +91 9825854117
Contact Detail	Mail: admin@parim?nserv.com, parim?nserv@gmail.com, Contact No: 0261-3568350, 9033434411-22-33 Web Site: www.parim?nserv.com
SEBI Registration No.	NSE-INZ000288425, Date: July 11, 2014 Member ID: 90028 CDSL-IN-DP-688-2022, Date: April 6, 2022 DP ID: 12095600
CIN No.	U67190DN2019PTC005585
Clearing Member	PARIM FINSERV PVT. LTD. at same as above address
For any Investor grievance / dispute, please contact PARIM FINSERV PVT. LTD. at the above address or email id- admin@parim?nserv.com and Contact No. 0261-3568350, 9033434411-22-33	
In case not satisfied with the response, please contact the concerned exchange(s) at NSE: ignse@nse.co.in Phone no. NSE: 022-26598190 / 1800220051. CDSL: comtlaints@cdslindia.com, Phone no. CDSL: 022-22723333 / 1800225533	

Know Your Customer (KYC) Application Form | Individual



Important Instructions:

- A. Fields marked with "*" are mandatory fields.
- B. Tick " " wherever applicable.
- C. Please fill the form in English and BLOCK letters.
- D. Please fill the date in DD-MM-YY format.
- E. For particular section update, please tick () in the box section number and strike off the sections not required to be updated.
- F. Please read section wise detailed guide
- G. List of State/U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
- H. List of two character ISO 3166 country codes is available at the end.
- I. CKYC number of applicant is mandatory for update application.
- J. The 'OTP based E-KYC' check box is to be checked for accounts opened using OTP based E-KYC in non-face to face mode

For office use only (To be filled by financial institution)	Application Type* <input type="checkbox"/> New <input type="checkbox"/> Update
	CKYC Number (KIN) Number <input style="width: 100px;" type="text"/> (Mandatory for KYC update request)
	Account Type* <input type="checkbox"/> Normal <input type="checkbox"/> Minor <input type="checkbox"/> Aadhaar OTP based E-KYC (in non-face to face mode)

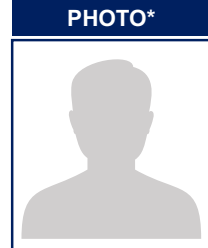
1. Personal Details (Please refer instruction A at the end)

	Prefix	First Name	Middle Name	Last Name
<input type="checkbox"/> Name* (Same as ID proof)	<input style="width: 30px;" type="text"/>	<input style="width: 100px;" type="text"/>	<input style="width: 100px;" type="text"/>	<input style="width: 100px;" type="text"/>
Maiden Name	<input style="width: 30px;" type="text"/>	<input style="width: 100px;" type="text"/>	<input style="width: 100px;" type="text"/>	<input style="width: 100px;" type="text"/>
Father / Spouse Name*	<input style="width: 30px;" type="text"/>	<input style="width: 100px;" type="text"/>	<input style="width: 100px;" type="text"/>	<input style="width: 100px;" type="text"/>
Mother Name	<input style="width: 30px;" type="text"/>	<input style="width: 100px;" type="text"/>	<input style="width: 100px;" type="text"/>	<input style="width: 100px;" type="text"/>
Date of Birth*	<input style="width: 20px;" type="text"/> <input style="width: 20px;" type="text"/> - <input style="width: 20px;" type="text"/> <input style="width: 20px;" type="text"/> - <input style="width: 20px;" type="text"/> <input style="width: 20px;" type="text"/> <input style="width: 20px;" type="text"/> <input style="width: 20px;" type="text"/> <input style="width: 20px;" type="text"/>			
Gender*	<input type="checkbox"/> M- Male	<input type="checkbox"/> F- Female	<input type="checkbox"/> T- Transgender	
PAN*	<input style="width: 100px;" type="text"/>			
Marital Status*	<input type="checkbox"/> Married	<input type="checkbox"/> Unmarried	<input type="checkbox"/> Others	
Citizenship*	<input type="checkbox"/> IN- Indian	<input type="checkbox"/> Others – Country	Country Code <input style="width: 20px;" type="text"/> <input style="width: 20px;" type="text"/>	
Residential Status*	<input type="checkbox"/> Resident Individual	<input type="checkbox"/> Non Resident Indian	<input type="checkbox"/> Foreign National	<input type="checkbox"/> Person of Indian Origin

2. PROOF OF IDENTITY AND PERMANENT ADDRESS* (Please refer instruction B at the end)

I Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)

<input type="checkbox"/> A-Passport Number	<input style="width: 100px;" type="text"/>
<input type="checkbox"/> B-Voter ID Card	<input style="width: 100px;" type="text"/>
<input type="checkbox"/> C-Driving Licence	<input style="width: 100px;" type="text"/>
<input type="checkbox"/> D-NREGA Job Card	<input style="width: 100px;" type="text"/>
<input type="checkbox"/> E-National Population Register Letter	<input style="width: 100px;" type="text"/>
<input type="checkbox"/> F-Proof of Possession of Aadhaar	<input style="width: 100px;" type="text"/>
II <input type="checkbox"/> E-KYC Authentication	<input style="width: 100px;" type="text"/>
III <input type="checkbox"/> Offline verification of Aadhaar	<input style="width: 100px;" type="text"/>



Signature /Thumb Impression across photo without covering the face 1/28

Current Address Details (Please see instruction B at the end)

Address [For other than resident Individual, please mention Overseas Address]

Line 1*	<input style="width: 100px;" type="text"/>
Line 2	<input style="width: 100px;" type="text"/>
Line 3	<input style="width: 100px;" type="text"/>
District*	<input style="width: 100px;" type="text"/>
	City/Town/Village* <input style="width: 100px;" type="text"/>
	Pin/Post Code* <input style="width: 100px;" type="text"/>
	State/U.T Code* <input style="width: 100px;" type="text"/>
	ISO 3166 Country Code* <input style="width: 100px;" type="text"/>

3. CURRENT/CORRESPONDENCE ADDRESS DETAILS (Please refer instruction B at the end)

Same as above mentioned address (In such cases address details as below need not be provided)

I.Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)

<input type="checkbox"/> A-Passport Number	<input style="width: 100px;" type="text"/>
<input type="checkbox"/> B-Voter ID Card	<input style="width: 100px;" type="text"/>
<input type="checkbox"/> C-Driving Licence	<input style="width: 100px;" type="text"/>
<input type="checkbox"/> D-NREGA Job Card	<input style="width: 100px;" type="text"/>
<input type="checkbox"/> E-National Population Register Letter	<input style="width: 100px;" type="text"/>
<input type="checkbox"/> F-Proof of Possession of Aadhaar	<input style="width: 100px;" type="text"/>
II <input type="checkbox"/> E-KYC Authentication	<input style="width: 100px;" type="text"/>
III <input type="checkbox"/> Offline verification of Aadhaar	<input style="width: 100px;" type="text"/>
IV <input type="checkbox"/> Deemed Proof of Address – Document Type code	<input style="width: 100px;" type="text"/>
V <input type="checkbox"/> Self Declaration	<input style="width: 100px;" type="text"/>

Address

Line 1*	<input style="width: 100px;" type="text"/>
Line 2	<input style="width: 100px;" type="text"/>
Line 3	<input style="width: 100px;" type="text"/>
District*	<input style="width: 100px;" type="text"/>
	City/Town/Village* <input style="width: 100px;" type="text"/>
	Pin/Post Code* <input style="width: 100px;" type="text"/>
	State/U.T Code* <input style="width: 100px;" type="text"/>
	ISO 3166 Country Code* <input style="width: 100px;" type="text"/>

For Individuals Or Non individual

(To be filled by the Depository Participant)

Application No		Date		DP Internal Reference No	
UCC		DP ID	12095600	Client ID	

(To be filled by the applicant in BLOCK LETTERS in English)

I/We request you to open a demat account in my/ our name as per following details:-

Holder Details

Sole / First Holder's Name			
PAN		UID	
Second Holder's Name			
PAN		UID	
Third Holder's Name			
PAN		UID	

*In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.

Type of Account (Please tick whichever is applicable)

Status	Sub – Status	
<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Individual Promoter <input type="checkbox"/> Individual Margin Trading A/c (MANTRA)	<input type="checkbox"/> Individual-Director <input type="checkbox"/> Individual HUF / AOP <input type="checkbox"/> Minor <input type="checkbox"/> Others(specify)
<input type="checkbox"/> Non Individual	<input type="checkbox"/> Body Corporation <input type="checkbox"/> Mutual Fund <input type="checkbox"/> Clearing House	<input type="checkbox"/> OCB <input type="checkbox"/> Bank <input type="checkbox"/> FII <input type="checkbox"/> CM <input type="checkbox"/> Bank <input type="checkbox"/> Other
SEBI Registration No. (If applicable)		SEBI Registration Date
RBI Registration No.(If applicable)		RBI Approval Date
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Repatriable Promoter <input type="checkbox"/> NRI –Depository Receipts	<input type="checkbox"/> NRI Non-Repatriable <input type="checkbox"/> NRI Non-Repatriable Promoter <input type="checkbox"/> Others (specify)
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National <input type="checkbox"/> Foreign National - Depository Receipts <input type="checkbox"/> Others (specify)	

Details of Guardian (in case the account holder is minor)

Guardian's Name		PAN	
Relationship with the applicant			

I / We instruct the DP to receive each & every credit in my / our account (Automatic Credit)	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
I / We would like to instruct the DP to accept all the pledge instructions in my /our account without any other further instruction from my/our end (If not marked, the default option would be 'No')	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
Account Statement Requirement	<input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly <input checked="" type="checkbox"/> As per SEBI Regulations	
Transaction-cum-Holding Statement / Ledgers / Bills may be mailed to Sole /First Holder's E-mail ID _____	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

If not marked, the default option would be 'Yes'

I / We wish to receive dividend / interest directly in to my bank account as given below through (ECS is mandatory for locations notified by SEBI from time to time)	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
I / We would like to share the E-mail ID with the RTA	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

(If not marked, the default option would be in Physical)

I / We would like to receive the Annual Report	<input type="checkbox"/> Electronic <input type="checkbox"/> Both Physical & Electronic	<input type="checkbox"/> Physical
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SMS ALERT FACILITY (Refer to Terms & Conditions - Annexure 2.4)

I / We wish to avail the SMS alert facility (Mandatory, if you are giving Power of Attorney (POA))	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
Mobile	_____	

PREFERENCE FOR RECEIPT OF DOCUMENTS

I / We wish to Receive the KYC Documents (RDD, Rights & Obligations - Trading & Demat & Do's & Dont's & n Other Documents.)	<input type="checkbox"/> In physical	<input type="checkbox"/> In electronic
---	--------------------------------------	--

TRANSACTIONS USING SECURED TEXTING FACILITY (TRUST) (Refer to Terms & Conditions - Annexure 2.6)

I / We wish to avail the TRUST facility using the Mobile number registered for SMS Alert Facility. I / We have read and understood the Terms and Conditions prescribed by CDSL for the same	<input type="checkbox"/> Yes	<input type="checkbox"/> No
---	------------------------------	-----------------------------

If Yes, please register the following Clearing Member ID's under my / our below mentioned BO ID registered for TRUST

Stock Exchange Name / ID	Clearing Member Name	Clearing Member ID (Optional)

Easi Facility (To register for easi, please visit CDSL website www.cdslindia.com or contact your DP for details)	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
---	---	-----------------------------

Income Range (per annum) (Tick Whichever is Applicable)	<input type="checkbox"/> Upto ₹ 1,00,000/-	<input type="checkbox"/> ₹ 1,00,001/- to ₹ 5,00,000/-
	<input type="checkbox"/> ₹ 5,00,001/- to ₹ 10,00,000/-	<input type="checkbox"/> ₹ 10,00,001/- to ₹ 25,00,000/-
	<input type="checkbox"/> ₹ 25,00,001/- to ₹ 100,00,000/-	<input type="checkbox"/> Above ₹ 100,00,000/-

Networth (Not Older than 1 Month)	₹ _____ as on	D	D	-	M	M	-	2	0	Y	Y
-----------------------------------	---------------	---	---	---	---	---	---	---	---	---	---

Occupation (Tick Whichever is Applicable)	<input type="checkbox"/> Private Sector Service	<input type="checkbox"/> Public Sector	<input type="checkbox"/> Retired	<input type="checkbox"/> Agriculturist
	<input type="checkbox"/> Business	<input type="checkbox"/> Government Service	<input type="checkbox"/> Student	<input type="checkbox"/> Forex Dealer
	<input type="checkbox"/> House Wife	<input type="checkbox"/> Professional	<input type="checkbox"/> Other(Please Specify) _____	

Any other information	_____
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COMMUNICATION MODE

Any changes in e-mail ID need to be communicated to the broker through a physical letter

Contract Note / Margin Statement / Ledgers / Bills Delivery Mode	<input type="checkbox"/> Physical	<input type="checkbox"/> Electronic
If Electronic mode, provide E-mail ID	As mentioned in KYC Basic Details Form	

Number of years of Investment / Trading experience			
Are you	A Politically Exposed Person (PEP) ?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
	Related to Politically Exposed Person (PEP) ?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Avail Internet Trading/ Wireless Technology facility		<input type="checkbox"/> Yes	<input type="checkbox"/> No
Purpose and intended nature of the business relationship (as per PMLA requirement)		<input type="checkbox"/> Investment <input type="checkbox"/> Speculation	<input type="checkbox"/> Trading <input type="checkbox"/> Others _____ <input type="checkbox"/> Hedging

3/28

(Signature of First / Sole Holder)

(Signature of Second Holder)

(Signature of Third Holder)

BANK DETAILS (Main / Default Bank / Dividend Bank)

Bank Name											Branch										
Address																					
Account Type	<input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> Other			MICR Code (9 digit)																	
Account No.											IFSC Code (11 digit)										

- (i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
 (ii) Photocopy of the Bank Statement having name and address of the BO
 (iii) Photocopy of the Passbook having name and address of the BO, (or)
 (iv) Letter from the Bank.
 ? In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document.

TRADING PREFERENCES

*Please sign in the relevant boxes where you wish to trade. the segment not chosen should be struck off by the client

Ex. Segment	<input checked="" type="checkbox"/>	4/28	<input checked="" type="checkbox"/>	5/28	<input checked="" type="checkbox"/>	6/28
NSE		Cash		Derivatives		Currency Derivatives

If, in future, the client wants to trade on any new segment / new exchange, separate authorization / letter should be provide by client to stock Brokers.

PAST ACTIONS

Details of any action / proceedings initiated / pending / taken by SEBI / RBI / IRDA / Stock Exchange / any other authority against the client in charge of dealing in Equities / F&O / Currency Derivatives / Commodity Derivatives for violation of laws / other economic offences during the last 3 years: YES NO (if yes, please specify details)

Depository account(s) details

Depository Name: NSDL CDSL

Depository Participant Name																					
Beneficiary Name																					
Depository ID											Beneficiary ID										

Dealings through Sub-Broker other stock Brokers

Whether dealing with any other stock broker/sub-broker (in case dealing with multiple stock brokers/sub-brokers, provide details of all)

Name of Stock Broker											Sub Broker, if any										
Client Code											Exchange(s)										
Website																					
Details of disputes/dues pending from/to such stock broker																					

Introducer details (optional)

Name of the Introducer																					
Status of the Introducer - Sub-broker/Remisier/Authorized Person/Existing Client																					
Address of the Introducer																					
Phone Number											Signature (optional)										

FOR OFFICE USE ONLY

UCC Code Allotted to the Client:			
	Documents verified with Originals	Client Interviewed By	In-Person Verification done by
Name			
Signature			
Designation			
Employee Code			
Date			

I/We undertake that we have made the client aware of 'Policy and Procedures' Tariff Sheet' and all the non-mandatory documents. We have also made the client aware of 'Rights and Obligations' document(s), 'Risk Disclosure Documents' and 'Guidance Note'. We have given/sent him a copy of all the KYC documents. We undertake that any change in the 'Policy and Procedures' and / or 'Tariff Sheet' and / or all the non-mandatory documents would be duly intimated to the clients. We also undertake that any change in the 'Rights and Obligations' and 'Risk Disclosure Documents' would be made available on our website (www.parimfinserv.com) for the information of the clients.

Date : _____

Signature of the Authorized Signatory

Seal/Stamp of the stock Broker

Nomination Details For Trading & Demat

Nomination Registration No.	
Dated	

I/We do not wish to nominate any one for this demat account.

I/We nominate the following person/s who is entitled to receive security balances lying in my/our account, particulars whereof are given below, in the event of the death of the Sole holder or the death of all the Joint Holders.

UCC		DPID	1 2 0 9 5 6 0 0	Client ID									
Name of the Sole / First Holder													
Name of Second Holder													
Name of Third Holder													

Up to 3 nominations can be made		Details of 1st Nominee	Details of 2nd Nominee	Details of 3rd Nominee
Name of the nominee(s) (Mr./Ms.)				
Share of each nominee				
<input type="checkbox"/> Distribute equally (if not equally shared please specify % age)		Any odd lot after division shall be transferred to the first nominee mentioned in the form.		
Relationship With the Applicant (if any)				
Address of nominee(s)				
Mobile/Telephone no. of nominee(s)				
Email ID of nominee(s)				
Proof of identity submitted				
Fill below details only if the nominee is a minor				
Date of birth				
Name of the guardian				
Address of guardian(s)				
Mobile/Telephone no of guardian(s)				
Email ID of guardian(s)				
Relationship of guardian with nominee				
Proof of identity submitted				

Note : Residual securities: incase of multiple nominees, please choose any one nominee who will be credited with residual securities remaining after distribution of securities as per percentage of allocation. If you fail to choose one such nominee, then the first nominee will be marked as nominee entitled for residual shares, if any.

*** Marked is Mandatory field**

This nomination shall supersede any prior nomination made by me / us and also any testamentary document executed by me / us.

Place: _____ Date: _____

7/28

(Signature of First / Sole Holder)

(Signature of Second Holder)

(Signature of Third Holder)

TARIFF SCHEME

CASH SEGMENT	Trading			Delivery	
	%		Minimum	% Minimum	Monthly / Other Plan
	1st side	2nd Side			
EQUITY & INDEX FUTURES	%		Rs. Per Lot / Order		
	1st side	2nd Side	1st side	2nd Side	
EQUITY OPTION	%		Rs. Per Lot / Order		
	1st side	2nd Side	1st side	2nd Side	
INDEX OPTION	%		Rs. Per Lot / Order		
	1st side	2nd Side	1st side	2nd Side	
CURRENCY FUTURES	%		Rs. Per Lot / Order		
	1st side	2nd Side	1st side	2nd Side	
CURRENCY OPTIONS	%		Rs. Per Lot / Order		
	1st side	2nd Side	1st side	2nd Side	

In Additional to the above monthly subscription charges, the following statutory charges will be also levied

- Securities transaction tax (STT), Exchange transaction Charges, Stamp Duty, GST, SEBI Turnover Fees, turnover charges.
- Electronic Contract note are free. Duplicate Physical Contract note Charged At ₹ 15 per contract
- call and trade charges at ₹ 20 per order
- Depository Participant charges as levied by Parim Finserv

8/28

(Signature of First / Sole Holder)

Declaration

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
2. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
3. I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for information on stock broker's designated website, if any.

Client Name _____

Place _____

Date :

D	D	M	M	2	0	Y	Y
---	---	---	---	---	---	---	---

9/28

(Signature of First / Sole Holder)

A. Refusal of orders for

Penny stocks are scrips, which have a very low value and may or may not be illiquid. Most times, there would be very few buyers /sellers for such scrips. The exchange (NSE) releases a list of such scrips and they are termed as illiquid securities

Parim Finserv Pvt Ltd. retains the right to term a particular scrip as illiquid/penny stock based on the parameters it deems fit. These parameters may include, the past volume of the scrip, the volatility in the scrip among others, whether trading in a particular scrip falls within the purview of fraudulent trades or trades deemed to be fraudulent under the SEBI - prohibition of fraudulent and unfair trade practices relating to securities market regulation 2003

Parim Finserv Pvt Ltd. reserves the right to facilitate clients for placing orders with regards to the penny stocks or illiquid scrips as described above. Parim Finserv Pvt Ltd. is not under any obligation to push orders which are in the nature of penny stocks/ illiquid scrips. Parim Finserv Pvt Ltd. does not encourage trades in penny stock. Parim Finserv Pvt Ltd. may permit trades in a penny or illiquid scrip subject to certain conditions, such conditions may include.

- In case of a buy transaction the client may be asked to deposit the full value of scrip which the clients intends to purchase, in case of sale transaction, the scrip will necessarily be transferred to Parim Finserv Pvt. Ltd.'s margin account prior to placing the sale order.
- Assessment of the past trading pattern or records of the client prior to giving permission.
- All trades shall be solely executed at the head office of the Company where the central server is located and the same shall be executed only after prior approval from the concerned authorities.

Further, Parim Finserv Pvt Ltd. reserves the right to refuse to place such orders whether or not the client fulfills the aforementioned conditions.

B. Setting Up Client's exposure Limits

Exposure is allowed to the clients based on the margin available in form of funds or approved securities valued after deducting an appropriate haircut. Client is liable to pay applicable initial margins, withholding margins, special margins or such other margins as are considered necessary by the Exchange. Further Parim Finserv Pvt Ltd. at its discretion may collect additional margin or may even reduce the margin even though not required by the Exchange. Clients are supposed to maintain sufficient balance with Parim Finserv Pvt Ltd. pre trade depending on the channel through which they trade. Parim Finserv Pvt Ltd. may levy additional margins or relax the margins earlier imposed based on factors such as, client level positions, volatility in a particular stock or the market in general. Exposure allowed to clients may vary, basis the clients past trading performance, quality of collateral, market circumstances and dynamics and other such factors. For clients who trade through the internet, the exposure limit may be ascertained by them on the trading portal. Clients executing trades through subbroker or branches may check their exposure positions with the subbroker/ Branch. Parim Finserv Pvt Ltd. shall not be responsible for any variation, reduction or imposition or the Client's inability to route any order through the Stock broker's website on account of any such variation, reduction or imposition of limits. Parim Finserv Pvt Ltd. may at any time, at its sole discretion and without prior notice, prohibit or restrict the Client's ability to place orders or trade in securities based on certain risk parameters.

C. Applicable Brokerage

The brokerage applicable shall be as agreed upon from time to time. In case of any modification in the brokerage rate, the client shall confirm the same as required by Parim Finserv Pvt Ltd. The client agrees to pay to Parim Finserv Pvt. Ltd., brokerage, Exchange related charges, statutory levies and any other charges (including but not limited to security handling charges on settlement) as are prevailing from time to time and as they apply to the client's account, transactions and to the services that member renders to the client. Parim Finserv Pvt Ltd. does not charge brokerage more than the maximum brokerage permissible as per the rules and regulations and bye laws of the Exchanges/SEBI. However the minimum brokerage as stipulated by the exchanges will be levied. The brokerage shall be charged as a percentage of the value of the trade or as a flat fee or otherwise, together with the statutory levies as may be applicable from time to time on the same. In addition to the brokerage charged the following charges will also be levied.

Schedule of charges

- Account opening fees
- SEBI turnover fees as applicable
- Exchange Transaction charges as applicable
- Securities Transaction Tax (STT)* :- The STT rates will vary with regards to trades done on delivery basis, non delivery basis and trades done in the derivative segment.
- Service tax & Education Cess

- Stamp duty :- Statewise stamp duty as applicable will be levied Clearing Charges.
- In addition to the above Parim Finserv Pvt Ltd. shall debit the demat charges to the trading account.
- In case there are any bank charges or any other charges levied by the exchange or any other authority on account of any violation done by the client, the same shall be charged to
- In case where the brokerage levied as a percentage on the value of the shares/ contract is less than the minimum brokerage payable per share/contract. The client will be charged such minimum rate of brokerage per share instead of the percentage.

All the above charges would be subject to change from time to time. Such changes shall be displayed on the website or such other URL used by Parim Finserv Pvt Ltd. from time to time

In case the brokerage rate is not specified on the brokerage chart within the KYC, the default brokerage as mentioned in tariff sheet attached with KYC.

D. Imposition of Penalty / Delayed payment charges by either party, specifying the rate and period.

All Clients who have agreed to have a "Running Account" with the Company will have to make payments due to the Company on due date/s. Delay pay-in charges are levied to clients for nonpayment or delay in payment of their fund obligation on time which may be due on account of charges, margins or any other sum due to Parim Finserv Pvt Ltd. Clients are required to pay margins before placing any trades. Clients are allowed to place margins in form of funds or securities. In case there is a debit balance in the clients account, the same shall be liable for the delayed payment charges. The calculation of these charges is an automated process. The charges to be levied would be up to ____ % per month. These charges have been introduced so that they act as a deterrent for delaying the payment of funds.

In case where any penalty / charges /fees / taxes etc. of whatever nature, is levied on Parim Finserv Pvt Ltd. on account of trades or orders placed by clients, such penalty /charges /fees / taxes etc. shall be debited to the client's account.

E. Right payment to sell of client's client's securities dues:- or close client's positions, after giving intimation to the client on account of

Margin collection – Margin is collected upfront from the client's i.e prior to executing any trades. Based on the margin thus available with Parim Finserv Pvt Ltd. - exposure is given to the client. The applicable margin may vary and the client may be asked to replenish the margin by tendering additional funds or securities. In accordance with Exchange guidelines, Parim Finserv Pvt Ltd. retains the right to square off the position without giving any notice to the client.

- The client agrees to pay for the shares purchased through Parim Finserv Pvt Ltd. before the pay-in date in order to enable Parim Finserv Pvt Ltd. to make the requisite pay-in to the exchange. In case the client fails to make the payment, Parim Finserv Pvt Ltd. may liquidate the securities in the clients account in order to ensure that adequate credit is received for the shares purchased. This square off may be done on or before the 5th day from the settlement date.
- The client also agrees to maintain adequate margin for the positions taken in any segment. However in case the credit available is lower than the minimum margin required, the client's positions would be liquidated in a manner that there is no shortfall of margin.
- Parim Finserv Pvt Ltd. shall endeavor to ensure that adequate prior information is given to the client for such liquidation. It shall inform the client through the mobile number and or email id updated with Parim Finserv Pvt Ltd. For clients to whom a login and password is given to access their account on the website www.parimfinserv.com or such other URL that may be provided by Parim Finserv Pvt Ltd. from 46 time to time, where they are required to login and check the limit statement uploaded therein. It shall be the clients' responsibility to ensure that adequate credit balance is available in the account
- The above guidelines may be changed based on the discretion of Parim Finserv Pvt Ltd. and in terms of the market conditions. In cases where the market is volatile and there is a sudden spurt in scrip price then Parim Finserv Pvt Ltd. may square off position without any notice due to the paucity of time and other such circumstances.
- Whenever Parim Finserv Pvt Ltd. endeavors that the positions are to be liquidated, it shall not be responsible for any liabilities in case the same cannot be liquidated
- The priority of the positions to be squared off, i.e. which positions to be squared off first, would be at the discretion of Parim Finserv Pvt. Ltd.

Parim Finserv Pvt. Ltd. may squaring-off any positions as per the sole discrepancy:-

F. Settlement of funds and securities

- The actual settlement of funds and securities shall be done by the stock broker, at least once in a calendar quarter or month, depending on your preference. While settling the account, the stock broker shall send to you a 'statement of accounts' containing an extract from the client ledger for funds and an extract from the register of securities displaying all the receipts/deliveries of funds and securities.
- On the date of settlement, the stock broker may retain the requisite securities/funds towards outstanding obligations. The stock broker may retain stock after calculating 30% hair cut and may also retain the funds expected to be required to meet derivatives margin obligations up to 225% of margin, calculated in the manner specified by the exchanges. In respect of cash market transactions, the stock broker may retain entire pay-in obligation of funds and securities due from clients as on date of settlement and for next day's business, he may retain funds/securities/margin to the extent of value of transactions executed on the day of such settlement in the cash market.
- You need to bring any dispute arising from the statement of account or settlement so made to the notice of the stock broker in writing preferably within 7 (seven) working days from the date of receipt of funds/securities or statement, as the case may be. In case of dispute, refer the matter in writing to the Investors Grievance Cell of the relevant Stock exchanges without delay.

G. Shortages in obligations arising out of internal netting of trades.

- BSE has a window wherein internal short deliveries can get auctioned as per the normal auction process. Internal short deliveries are not covered by the Auction conducted on the NSE. It is due to this limitation on the NSE we follow the procedure as mentioned below
- In case of NSE, shortages arising out of Internal Netting of trades, client shortages are closed out by company at 20% above the closing rate of that particular scrip of auction date.
- In case of shortages due to Internal Netting of trades if the Company is required to pay any penalty to the Exchange/s it will be charged to the Client concerned.

H. Conditions under which a client may not be allowed to take further position or the broker may close existing position of a client /Temporary suspending or close a client's account at the client's request and Deregistering a client

- In the event where overall position in any scrip or derivative contract has reached the limit prescribed by regulators or exchanges
- Parim Finserv Pvt Ltd. has the right to stop or block the client to trade in case where there is a debit balance in his/her account
- Parim Finserv Pvt Ltd. retains the right to block the client to take a position in certain specified scrips basis the risk management systems of Parim Finserv Pvt Ltd.
- In case where Parim Finserv Pvt Ltd. is apprehensive the client to be an entity debarred by any regulatory authority, it shall retain the right to stop the trading activities of such a client.
- In case where Parim Finserv Pvt Ltd. perceives risk with regards to any regulatory action or with regards to delay or non payment of margin or any other obligation Parim Finserv Pvt Ltd. may temporary block or suspend the trades of such clients.
- In case where the client's account is dormant for a period of more than 3 months Parim Finserv Pvt Ltd. may mark such clients as inactive, and trades in the futures and options segment / Cash segment will be restricted till the receipt of the request from the clients for activating the account.
- In case where suspicious transactions are observed, including but not limited to off market transactions.
- In case where clients are not reachable at their contact details mentioned in the client registration form or in the client master changes form
- In case where unprofessional, or unruly behavior of the client is observed.
- In case of any ongoing dispute with the client, we may not permit the client to take further positions and may even close out his open positions

- Further in case of clients breaching the risk parameters mentioned in point H above we may close the clients' positions without any intimation.

In the event of death or insolvency of the client, winding up or liquidation or the client otherwise becoming incapable of receiving and paying for or delivering or transferring securities which the client has ordered to be bought or sold, the Stock Broker may close out the transaction of the client and the client or his legal representative as the case shall be would be liable for any losses, costs and be entitled to any surplus which may result there from.

I. TEMPORARILY SUSPENDING OR CLOSING OF CLIENT'S ACCOUNT AT THE CLIENT'S REQUEST

Parim Finserv Pvt Ltd. may suspend or close the trading account of the client pursuant to SEBI or any other Regulatory directive for such period as may be prescribed by the respective Regulator. Parim Finserv Pvt Ltd. may further at its sole discretion and with/ without information to the CLIENT, prohibit or restrict or block the CLIENT's access to the use of the web site or related services and the CLIENT's ability to trade due to market conditions and other internal policies including policy with respect to prevention of money laundering.

Client can initiate temporary suspension / closure of its account at any time by giving a request to Parim Finserv Pvt Ltd. in writing 15 days in advance. However, such suspension / closure will be effected subject to clearance of all dues and settlement obligations by the client.

Trades in the account of the client during the period of such temporary suspension shall not be permitted.

Notwithstanding any such suspension / closure, all rights, liabilities and obligations of the parties arising out of or in respect of transactions entered into prior to such closure / suspension shall continue to subsist and binding on the client.

In case the account has been temporarily suspended at the request of the client, the account shall be reactivated only on submission of a written request for reactivation by the client.

J. Deregistering a client.

It is to be noted that any particular Client/s who is/are covered under any of the following category will be deregistered.

- that particular Client/s is/are barred from Trading on the Exchange/s by SEBI/Exchange/s
- The particular Client who has defaulted in making payment on due date to the Company
- on receipt of any adverse information by the Company about the particular Client
- The Client NOT trading through the Company continuously for more than 12 months

K. Treatment of Inactive Accounts

The client who does not trade since last 6 months from his/her last trade will be treated as inactive client / account. Any inactive clients wants to trades at any time, verbal or writing intimation is required. Please note that this verbal intimation will be recorded. If any client become inactive, all the securities lying as margin will be shifted to his/her own designated demate account. If there is any funds lying in his/her account will be given back to the client. Further please note that any variation or any irregular transaction or any matter which is describe in the above paragraphs as exceptional or unusual pertaining to any particular Client should be brought to the attention of the Managing Director / Director and action to be taken by all concerned as per advice/instructions given by them.



10/28

(Signature of First / Sole Holder)

DP Tariff Charges Sheet

Parim Finserv Pvt. Ltd. DP ID: 12095600

For Individuals/HUF

Services	<input type="checkbox"/> BSDA	<input type="checkbox"/> Regular
Annual Maintenance Charge (AMC)	-	₹ 350/- + GST
Deliveries / Debit transaction		
A. Auto Payin to PFSP Pool	₹ 50/-	₹ 30/-
B. Without Auto Payin to PFSP Pool	₹ 50/-	₹ 30/-
C. off Market to PFSP	₹ 75/-	₹ 50/-
Debit – To other Pool & Off Market	0.02% or Min. ₹ 50/-	0.01% or Min. ₹ 50/-
Pledge Creation / Closure / Invocation	₹ 100 /-	₹ 50/-
Margin Pledge, Un-Pledge Invocation	₹ 100/-	₹ 50/-
Dematerialization	₹ 100/- per Certificate + CDSL Charges	
Rematerialization/Repurchase	₹ 100/- per Certificate + CDSL Charges	
Demat Rejection	₹ 100/- per rejection	
Additional DIS requisition	₹ 100/- per Booklet	₹ 50/- per Booklet
Freeze & unfreeze	₹ 100/-	₹ 50/-
Other Charges	₹ 300/- DDPI	

Notes:

- The above charges are exclusive of GST and other levies levied by Government bodies / statutory authorities from time to time.
- The above charges are based on existing CDSL charges and are subject to change at the sole discretion of Parim Finserv Pvt Ltd with intimation to client of not less than 30 days.
- Deposit shall be interest free & refundable on the closure of account (minimum period of 1 year.)
- Value of the transaction will be in accordance with the rates provided by CDSL.
- All charges (except AMC) will be payable monthly. Charges quoted above are for the services listed. Any service not quoted above will be charges separately.
- All documents like holding, transaction, ledger statements etc. shall be electronically mailed to the e-mail ID of the client. Any copy in physical form shall be charged extra per page in addition to the postal charges.
- All instruction for the transfer must be received at Corporate Office (Surat) at least 24 hours before the execution date.
- For BSDA, Account Maintenance Charges:
 - A) ₹ 0/- (Zero) - if value of Holding is up to ₹ 50,000/-.
 - B) ₹ 100/- if value of Holding from ₹ 50,001/- to ₹ 2,00,000/-.
 - C) If value of Holding exceeds ₹ 2, 00,000/- at any point of time, AMC will be charged.

11/28

(Signature of First / Sole Holder)

(Signature of Second Holder)

(Signature of Third Holder)

NOTING FOR THE CLIENTS

Pursuant to SEBI Circular # SEBI/MRD/SE/Cir-42/2003 dated 10th November 2003, **Parim Finserv Pvt.Ltd.** informs all its client that they shall be doing proprietary trading in addition to clientele business.

The information provided by the client through this form shall be the sole property of the trading member / brokerage house and would not be disclosed to anyone unless required by law or except with the express permission of the clients.

DIS OPTION (Please select any one)

- Option 1:** I / We require you to issue Delivery Instruction Slip (DIS) booklet to me / us immediately on opening my / our CDSL account through I / We have issued a Power of Attorney (POA) in favour of **Parim Finserv Pvt. Ltd.** (Clearing Member) for executing delivery instruction for setting stock exchange trades (settlement related transactions) effected through such Clearing Member.

12/28

(Signature of First / Sole Holder)

(Signature of Second Holder)

(Signature of Third Holder)

- Option 2:** I / We do not require the Delivery Instruction Slip (DIS) for the time being, since I / We have issued a POA in favour of **Parim Finserv Pvt. Ltd.** (Clearing Member) for executing delivery instructions for setting stock exchange trades (settlement related transactions) effected through to me / us immediately on my / our request at any later date.

13/28

(Signature of First / Sole Holder)

(Signature of Second Holder)

(Signature of Third Holder)

Demat Debit and Pledge Instruction– Voluntary Document

To all whom these presents shall come I/We Mr. /Mrs. /M/s













First Holder	
Second Holder	
Third Holder	

an individual/a sole proprietary concern/a partnership firm/a body corporate/trust, registered/incorporated, under the provisions of the Indian Partnership Act, 1932/the Companies Act 1956, or any relevant Act, having my/our residence/ registered office/place of business at 412, Zircon Plus, Opp Patidar Bhavan, B/S. Ankur School, Amba Talavdi Road, Katargam, Surat-395004 send greetings.

Whereas I / we hold a Beneficiary account no.12095600 with Central Depository Services (India) Limited through Depository Participant Parim Finserv Pvt. Ltd. bearing DP ID- _

And whereas I / We am / are an investor(s) engaged in buying and selling of securities through Parim Finserv Pvt. Ltd. a Member of National Stock Exchange of India Ltd (NSE) In Capital Market Segment, Equity Derivative Segment & Currency Derivative Segment, bearing SEBI Registration No. INZ000288425. (Hereinafter referred to as "**the Stock Exchange/Exchanges**").

And Whereas due to exigency and paucity of time, I/we am/are authorizing by executing an agreement naming Demat Debit and Pledge Instruction (DDPI) Parim Finserv Pvt. Ltd for below mentioned purposes:

Sr. No.	Purpose	Signature of Client *	
1.	Transfer of securities held in the beneficial owner accounts of the client towards Stock Exchange related deliveries / settlement obligations arising out of trades executed by clients on the Stock Exchange through the same stock broker	(First Holder)	 14/28
		(Second Holder)	
		(Third Holder)	
2.	Pledging / re-pledging of securities in favour of trading member (TM) / clearing member (CM) for meeting margin requirements of the clients in connection with the trades executed by the clients on the Stock Exchange.	(First Holder)	 15/28
		(Second Holder)	
		(Third Holder)	
3.	Mutual Fund transactions being executed on Stock Exchange order entry platforms	(First Holder)	 16/28
		(Second Holder)	
		(Third Holder)	
4.	Tendering shares in open offers through Stock Exchange platforms	(First Holder)	 17/28
		(Second Holder)	
		(Third Holder)	

I/We hereby agree and declare that all acts and deeds done by the aforesaid stock broker under this authorization shall be construed as acts and deeds done by me/us jointly as well as severally and I/we further confirm and ratify all acts and deeds that the a fore said stock broker shall lawfully do or cause to be done by virtue of this authorization

Designated Demat Account details of the broker where my/our securities shall be transferred from my/our aforesaid beneficiary account for settlement obligation and/or shall be given for Margin Pledge towards my/our Margin Obligation are as follows:

Annexure – B

Particulars	DP ID	Client ID
CDSL CLIENT MARGINPLEDGEA/C	12095600	00000139
CDSL NSE Pool A/C	12095600	00000202
CDSL NSE Early Pay -in A/C	11000011	00021763



18/28

(Signature of First / Sole Holder)



(Signature of Second Holder)



(Signature of Third Holder)

We hereby confirm having accepted the aforesaid Instructions.

For _____

Authorized Signatory

Date: _____

* the same may be eSigned or signed physically

Terms and Conditions-cum-Registration / Modification Form for receiving SMS Alerts from CDSL [SMS Alerts will be sent by CDSL to BOs for all debits]

Definitions:

In these Terms and Conditions, the terms shall have following meaning unless indicated otherwise:

1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
2. 'DP' means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
3. 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means "Short Messaging Service"
5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
7. "Service" means the service of providing SMS alerts to
8. the BO on best effort basis as per these terms and conditions

Availability:

1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those accountholders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also dis-continue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving Alerts:

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts, then the BO may not get / get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.

4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/ or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/ or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
6. The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account/ unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at complaints@cdslindia.com. The BO is advised not to inform the service provider about any such unauthorized debit to/ transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.
7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever. If the BO finds that the information such as mobile number etc., has been changed without proper authorization, the BO should immediately inform the DP in writing

Fees:

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

Disclaimer:

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warrant the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/ or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/ misuse of such information by any third person.

Liability and Indemnity:

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

Amendments:

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

Governing Law and Jurisdiction:

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai. I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below.

I/ We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time.

I/ we further undertake to pay fee/ charges as may be levied by the depository from time to time.

I / We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I/We am/ are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

I/We provide the following information for the purpose of REGISTRATION / MODIFICATION (Please cancel out what is not applicable)

BOID

1	2	0	9	5	6	0	0										
---	---	---	---	---	---	---	---	--	--	--	--	--	--	--	--	--	--

(Please write your 8 digit DPID) (Please write your 8-digit Client ID)

Sole / First Holder's Name : _____

Second Holder's Name : _____

Third Holder's Name : _____

Mobile Number on which messages are to be sent

+91																	
-----	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

(Please write only the mobile number without prefixing country code or zero)

The mobile number is registered in the name of: _____

Email ID : _____

(Please write only ONE valid email ID on which communication; if any, is to be sent)



19/28



(Signature of First / Sole Holder)

(Signature of Second Holder)

(Signature of Third Holder)

Holder place: _____

Date: _____

A. FATCA & CRS DETAILS (Self Certification)

PAN

NAME

Gender M F Other **Occupation** Service Business Others Please specify

Type of Address given at KRA Residential Business Residential/Business Registered Office **Nationality**

Date of Birth **Place of Birth** **Country of Birth**

Mobile No. **Email Address:**
(Provide if not given) (Provide if not given)

Name of Father/Guardian

Name of Spouse

Permissible Documents (If PAN not provided) Passport Election ID Card Government ID Card UIDAI Card NREGA Card Others: Please specify
Document ID Number

Is your Tax Residency / Country of Birth / Citizenship / Nationality other than India? YES NO
If yes, please indicate all countries in which you are resident for tax purpose and the associated Tax ID number below:

Sr. No	Country of Tax Residency#	Tax Identification Number [^]	Identification Type (TIN or other, please specify)
1			
2			
3			

To include all countries other than India, where investor is Citizen/Resident/Green Card Holder/Tax Resident in those respective countries especially of USA. [^]Incase tax identification number is not available, kindly provide its functional equivalent\$

B. ADDITIONAL KYC INFORMATION

Occupation Details Private Sector Service Public Sector Service Government Service Business Professional Agriculturist
[Please tick (?)] Retired Housewife Student Others (Please specify)

Gross Annual Income [Please tick (?)] Below 1 lac 1 - 5 lac 5 - 10 lac 10 - 25 lac >25 lac - 1 crore > 1 crore

OR

Net Worth Rs. as on (Not older than 1 year)

Politically Exposed Person Status* I am Politically Exposed Person (PEP)[^] I am Related to Politically Exposed Person (RPEP) Not applicable

*PEP are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g. Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

C. DECLARATION

I/We have read and understood the information requirements and the Terms and Conditions mentioned in this Form (read alongwith the FATCA & CRS Instructions) and hereby confirm that the information provided by me/us on this Form is true, correct and complete. I/We hereby agree and confirm to inform ICICI Prudential Asset Management Co. Ltd. for any modification to this information promptly. I/We further agree to abide by the provisions of the Scheme related documents inter alia provisions on 'Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standards (CRS) on Automatic Exchange of Information (AEOI).

PLACE:

DATE:

 20/28
Signature of the Applicant/Guardian

APPENDIX - A

Electronic Contract Note (ECN) Declaration

(Voluntary)

To,
Parim Finserv Pvt. Ltd.

412, Zircon Plus, Opp Patidar Bhavan B/S.
Ankur School, Amba Talavdi
Road, Katargam, Surat-395004

Dear Sir / Madam,

Date	D	D	-	M	M	-	2	0	Y	Y
------	---	---	---	---	---	---	---	---	---	---

I, _____, a client with **Parim Finserv Pvt. Ltd.** who is a member of NSE undertake as follows:

I am aware that the Member has to provide physical contract note in respect of all the trades placed by me unless I myself want the same in the electronic form.

I am aware that the Member has to provide electronic contract note for my convenience on my request only.

Though the Member is required to deliver physical contract note, I find that it is inconvenient for me to receive physical contract notes. Therefore, I am voluntarily requesting for delivery of electronic contract note pertaining to all the trades carried out / ordered by me.


I have access to a computer and am a regular internet user, having sufficient knowledge of handling the email operations.

My E-mail ID is _____. This has been created by me and not by someone else. *(The email id must be written in own handwriting of the client.)

I am aware that this declaration form should be in English or in any other Indian language known to me.

I am aware that non-receipt of bounced mail notification by the member shall amount to delivery of the contract note at the above E-mail ID.

The above declaration and the guidelines on ECN given in the Annexure have been read and understood by me. I am aware of the risk involve in dispensing with the physical contract note, and do hereby take full responsibility for the same.

Signature		21/28	Address	_____
Name				_____
PAN	_____	_____	UCC	_____

DECLARATION

I / We have received and read the Rights and Obligations documents and Terms & Conditions and agree to abide by and be bound by the same and by the Bye-laws as are in force from time to time. I / We declare that the particulars given by me / us above are true and to the best of my / our knowledge as on the date of making this application. I / We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me / us in this form. I / We further agree that any false / misleading information given by me / us or suppression of any material information will render my / our account liable for termination and suitable action.



22/28

(Signature of First / Sole Holder)



(Signature of Second Holder)



(Signature of Third Holder)

RUNNING ACCOUNT AUTHORIZATION

(Voluntary)

To,
Parim Finserv Pvt. Ltd.
412, Zircon Plus, Opp Patidar Bhavan B/S.
Ankur School, Amba Talavdi
Road, Katargam, Surat-395004

Date	D	D	-	M	M	-	2	0	Y	Y
------	---	---	---	---	---	---	---	---	---	---

Client ID	12095600
UCC	

Dear Sir/Madam,

I/We are dealing through you as a client in Capital Market and/or Future & Option segment and/or Currency segment and/or Interest Rate future Segment & in order to facilitate ease of operations and upfront requirement of margin for trade.

I/We authorize you as under:

1. I/We request you to maintain running balance in my account & retain the credit balance in any of my/our account and to use the unused funds towards my/our margin/pay-in/other future obligation(s) of any segment(s) of any or all the Exchange(s)/Clearing corporation unless I/We instruct you otherwise.
2. I/We request you to retain securities with you for my/our margin/pay-in/other future obligation(s) of any segment(s) of any or all the Exchange(s)/Clearing Corporation, unless I/We instruct you to transfer the same to my/our account.
3. I/We request you to settle my fund and securities account (choose one option)
 - Once in a calendar Month
 - Once in every calendar Quarter except the funds given towards collaterals/margin in form of Bank Guarantee and/or Fixed Deposit Receipt
4. In case I/We have an outstanding obligation on the settlement date, you may retain the requisite securities/funds towards such obligations and may also retain the funds expected to be required to meet margin obligation for next 5 trading days, calculated in the manner specified by the exchanges.
5. I/We conform you that I will bring to your notice any dispute arising from the statement of account or settlement so made in writing preferably within 7 working days from the date of receipt of funds/securities or statement of account or statement related to it, as the case may be at your registered office.
6. I/We conform you that I can revoke the above mentioned authority at any time.
7. This running account authorization would continue until it is revoked by me.

However with reference to SEBI circular bearing Ref: MIRSD/SE/Cir-19/2009 dated Dec 03, 2009 & various circulars issued by respective Exchanges thereafter regarding quarterly/monthly settlement, I request you to kindly note my preference for actual settlement of funds as follows.

Looking at the operational difficulties faced by both of us in settling the accounts, I hereby authorize you to retain an amount of ₹10,000/- at every instance of settlement.



23/28

VARIOUS AUTHORIZATIONS

(Voluntary)

Kindly strike off any of the clauses, You do not wish to sign.

TELEPHONIC INSTRUCTIONS/VOICE LOGGER

As regards to placement of orders, although you have insisted on written instruction for placing / modifying / cancelling orders, considering the practical difficulties faced in complying the same, I hereby agree to telephonic instruction for order placing or order modification as a written request and give all the confirmations on telephone unless instructed otherwise in writing.

Further I am aware that for all such telephonic instructions between us, you have installed or may install in future voice logging systems on the telephonic lines and you and you may record our telephonic instruction/conversations & I have or shall have no objection whatsoever in you using the said recordings to ascertain any claims or settle the disputes arising between us in any arbitration or legal proceedings and all such recordings shall be bound to both of us.

SMS FACILITY

I am aware that you, at your sole discretion, may send a SMS on my registered mobile (as mentioned in the KYC form) related to daily trade confirmations, ledger balances, margin calls or any other transactional/informative message. On receipt of such SMS, it shall be my responsibility to intimate you in writing of any discrepancies in the same.

I am also aware that you may charge a fee (amount not exceeding ₹ 25/- per month) for availing this facility & I authorize you to debit the same to my ledger from time to time.

Further if there is any change in my mobile number, it shall be my responsibility to intimate the said changes to you in writing & you shall make the necessary amendments within 48 hours of receipt of such intimation.

LETTER OF AUTHORITY

I hereby appoint the following persons as mentioned below as my authorized representative to operate my above mentioned trading account on my behalf and Parim Finserv Pvt. Ltd. is authorized to follow his / her instructions regarding my above mentioned trading account for the following purpose

To place orders on my behalf and to receive confirmations on my behalf for order placed by him / her in the said account.

To receive / collect contracts, bills and any other relevant documents on my behalf in the said account and take / handover all the deliveries of shares purchased / sold by or on behalf of me.

Sr. No.	Name of Authorized Person	Specimen Signature of Authorized Person
1		
2		

DEMAT CHARGES AUTHORIZATION

I am having a trading account with you as mentioned above for dealing in shares & securities. I hereby authorize you to kindly debit my aforesaid trading account for various charges due to you pursuant to the services rendered against the following demat account(s).

No.	Demat Account No.	No.	Demat Account No.
1	12095600	2	12095600

INTERNET / MOBILE BASE APPLICATIONS

I would like to avail the various internet/mobile based trading & backoffice softwares offered by you.

VARIOUS AUTHORIZATIONS

(Voluntary)

Kindly strike off any of the clauses, You do not wish to sign.

Client Defaulter Declaration

I _____ having PAN _____ do hereby declare that I have not been involved in any unlawful activities and I have not been declared a defaulter or my name is not appearing in defaulter database as per SEBI/ Various Exchange/ Regulatory bodies, etc. I further declare that the above mentioned declaration/ statement is true and correct.



24/28

REVOCAION OF AUTHORIZATIONS

I / We am / are fully aware that any of the aforesaid authorizations shall continue till it is revoked (without notice period) in writing by me/ us & the said revocation shall be effective from the date on which the revocation notice is received by you at your corporate office.



25/28

OPTING-OUT OF BSDA FACILITIES

This is to inform you that I / We am / are aware about SEBI circular bearing Ref: CIR/MRD/DP/22/2012 dated 27th August 2012, where in a small investor can avail the BSDA facilities as mentioned therein.

However I / We do not intend to avail any such facility & although my / our demat account may fall under BSDA category now or in future, I/we do not wish to avail the said facilities.

Kindly consider this as my/our one time standing instruction for not availing the BSDA facility & in case I / We wish to avail the said facility in future, I / We shall intimate the same to you in writing.



26/28

(Signature of First / Sole Holder)



(Signature of Second Holder)



(Signature of Third Holder)

Declaration form for opting out of nomination (Annexure 1B)

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

To,

Parim Finserv Pvt. Ltd.

412, Zircon Plus, Opp Patidar Bhavan,
B/S. Ankur School,
Amba Talavdi Road,
Katargam, Surat-395004

DP ID

Client ID

First Holder Name	
Second Holder Name	
Third Holder Name	

I/We hereby confirm that I/We do not wish to appoint any nominee(s) in my/our trading/demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my/our legal heirs would need to submit all the requisite documents/information for claiming of assets held in my/our trading/demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading/demat account.



27/28

(Signature of First / Sole Holder)



(Signature of Second Holder)



(Signature of Third Holder)

ACKNOWLEDGEMENT OF KYC DOCUMENTS

Date	D	D	-	M	M	-	2	0	Y	Y
------	---	---	---	---	---	---	---	---	---	---

To,
Parim Finserv Pvt. Ltd.
412, Zircon Plus, Opp Patidar Bhavan
B/S. Ankur School, Amba Talavdi Road,
Katargam, Surat- 395004

Dear Sir / Madam,

Sub: Acknowledgment of KYC Documents

Ref: UCC _____ Client ID: 12095600 _____

- Copy of Client Registration Form (Part A & Part B)
- Tariff Sheet
- Rights & Obligations
- Risk Disclosure Documents (RDD)
- Guidance Note (Do's & Don'ts)
- Company's internal Policies & Procedures
- Copy of DP Account Opening Form
- Nomination Form
- Rights & Obligations of DP & BO
- Copy of DDPI Agreement
- Copy of the Voluntary Declaration
- Electronic Contract Note (ECN) – Declaration
- Running Account Authorization / Settlement of Fund & Securities
- Telephonic Instruction / Voice Logger
- SMS Facility / Email Facility
- Authority Letter
- Demat Charges Authorization
- Internet / Mobile Base Applications
- Opting- out Of BSDA Facilities



28/28

(Signature of First / Sole Holder)



(Signature of Second Holder)



(Signature of Third Holder)

To,
PARIM FINSERV PVT. LTD.

Date : ___ / ___ / ___

Declaration for HUF

Respected Sir/Madam,

I declare that the undersigned _____
is the KARTA of _____

The HUF consist of the following Members.

Name	Relation	Birth Date	M/F	Signature

I hereby also declare that the particulars given by me as stated above are true to the best of my knowledge as on date for making this Application to open Account. I agree that any false/misleading information given by me or suppression of any material information will render my said account liable for termination and further action. Further, I agree that I will immediately intimate any death/s or birth/s the family as it change the constitution of the HUF.

Yours truly,

Signature with Karta Stamp



PARIM FINSERV

Creating Wealth With Integrity

PARIM FINSERV PVT. LTD.

Member: NSE, CDSL

SEBI Registration No.: NSE – INZ000288425 Member ID : 90028

SEBI Registration No.: CDSL – IN-DP-688-2022 DP ID : 12095600

**Address : 412, Zircon Plus, Opp. Patidar Bhavan, B/S. Ankur School,
Ambatalavadi Road, Katargam, Surat-395004**